

10/522793

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ATTORNEY DOCKET NO. 040894-7172

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Koichi KINOSHITA, et al. )  
Application No.: Unassigned ) Group Art Unit: Unassigned  
U.S. Filing Date: January 31, 2005 ) Examiner: Unassigned  
National Stage of PCT/JP2004/004771 )  
PCT Filing Date: April 1, 2004 )

For: **FLOATING CALIPER TYPE DISK BRAKE**

Commissioner for Patents  
Alexandria, VA 22314

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application before the mailing date of a first Office Action on the merits for the above-referenced application.

A copy of each listed non-US patent document is attached. The following documents are in a language other than English.

**a. JP 10-184744 A:** In lieu of a statement of relevance, the Search Report is attached from the PCT application from which this National Phase U.S. Application is derived.

**b. JP 2001-41268 A:** In lieu of a statement of relevance, the Search Report is attached from the PCT application from which this National Phase U.S. Application is derived.

**d. JP 9-503849 A:** In lieu of a statement of relevance, the Search Report is attached from the PCT application from which this National Phase U.S. Application is derived.

e. JP 55-14381: In lieu of a statement of relevance, the Search Report is attached from the PCT application from which this National Phase U.S. Application is derived.

f. JP-A-62-69635: In lieu of a statement of relevance, the Search Report is attached from the PCT application from which this National Phase U.S. Application is derived.

g. JP 1-224530 A: In lieu of a statement of relevance, the Search Report is attached from the PCT application from which this National Phase U.S. Application is derived.

h. JP-A-54-161486: In lieu of a statement of relevance, the Search Report is attached from the PCT application from which this National Phase U.S. Application is derived.

i. JP-A-55-123029: The relevance of this document can be understood from the discussion at page 4 of the specification of the present application.

j. JP-A-11-044331: The relevance of this document can be understood from the discussion at page 4 of the specification of the present application.

k. JP-Y-2596090: The relevance of this document can be understood from the discussion at page 4 of the specification of the present application.

l. JP-A-59-019730: The relevance of this document can be understood from the discussion at page 4 of the specification of the present application.

m. JP-A-08-093808: The relevance of this document can be understood from the discussion at page 4 of the specification of the present application.

n. JP-A-10-318301: The relevance of this document can be understood from the discussion at page 4 of the specification of the present application and the Search Report from the PCT application from which this National Phase U.S. Application is derived.

o. JP-U-57-149331: The relevance of this document can be understood from the discussion at page 4 of the specification of the present application.

p. JP-U-02-124330: The relevance of this document can be understood from the discussion at page 4 of the specification of the present application.

q. JP-U-03-124031: The relevance of this document can be understood from the discussion at page 4 of the specification of the present application.

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**r. JP-U-05-042779:** The relevance of this document can be understood from the discussion at page 4 of the specification of the present application.

**s. JP-U-62-069635:** The relevance of this document can be understood from the discussion at page 4 of the specification of the present application.

**t. JP-A-55-014381:** The relevance of this document is shown in the attached English-language abstract and can be understood from the discussion at page 4 of the specification of the present application.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If it should be determined that the listed document does not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0310.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**



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Date: January 31, 2005

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PTO Form 1449		Applicants Koichi KINOSHITA, et al.	
		Filing Date January 31, 2005	Group

**U.S. PATENT DOCUMENTS**

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	4,267,904	May 19, 1981				
	5,562,187	Oct. 8, 1996				
	5,975,252	Nov. 2, 1999				
	6,116,384	Sept. 12, 2000				

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	Sub Class	<u>Translation</u>	YES	NO
	JP 10-184744 A	July 14, 1998	Japan					X
	JP 2001-41268 A	Feb. 13, 2001	Japan					X
	JP 10-318301 A	Dec. 4, 1998	Japan					X
	JP 9-503849 A	Apr. 15, 1997	Japan					X
	JP 55-14381 A	Jan. 31, 1980	Japan					X
	JP 1-224530 A	Sept. 7, 1989	Japan					X
	JP-U-62-69635	May 1, 1987	Japan					X
	JP-U-54-161486	Nov. 12, 1979	Japan					X
	JP-A-55-123029	Sept. 22, 1980	Japan					X
	JP-A-11-044331	Feb. 16, 1999	Japan					X
	JP-Y-2596090	Apr. 2, 1999	Japan					X
	JP-A-59-019730	Feb. 1, 1984	Japan					X
	JP-A-08-093808	Apr. 12, 1996	Japan					X
	JP-U-57-149331	Mar. 16, 1956	Japan					X
	JP-U-02-124330	Oct. 12, 1990	Japan					X
	JP-U-03-124031	Dec. 17, 1991	Japan					X
	JP-U-05-042779	June 11, 1993	Japan					X
	JP-U-62-069635	May 1, 1987	Japan					X
	JP-A-55-014381	Jan. 31, 1980	Japan			Abstract		

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

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Examiner	Date Considered
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	